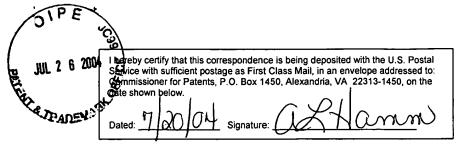
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## PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/824689 Filing Date TRANSMITTAL April 14, 2004 First Named Inventor **FORM** Arthur D. Ballard Art Unit Not Yet Assigned (to be used for all correspondence after initial filing) Examiner Name Not Yet Assigned Attorney Docket Number 10884-00008-US Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please х Extension of Time Request Terminal Disclaimer Identify below): Copies of References (50 **Express Abandonment Request** Request for Refund references) PTO/SB/08 x Information Disclosure Statement CD, Number of CD(s) Return Postcard Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm CONNOLLY BOVE LODGE & HUTZ LLP Liza D. Hohenschutz - 33,712 Individual name Signature

Date



Docket No.: 10884-00008-US (PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Arthur D. Ballard et al.

Application No.: 10/824689 Confirmation No.: Not Yet Assigned

Filed: April 14, 2004 Art Unit: Not Yet Assigned

For: PROCESS FOR MAKING GEL FILMS Examiner: Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent,

Application No.: 10/824689 Docket No.: 10884-00008-US

publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 10884-00008-US. A duplicate copy of this paper is enclosed.

Dated: July 20, 200 \$

Respectfully submitted,

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Sub	Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/24689	
IN	<b>IFORMATIC</b>	ON DI	SCLOSURE	Filing Date	April 14, 2004	
S	TATEMEN1	BY	APPLICANT	First Named Inventor	Arthur D. Ballard	
				Art Unit	N/A	
	(Use as many she ets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	10884-00008-US	

		·	U.S. PA	TENT DOCUMENTS	······
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		3,962,482	6/8/1976	Uniroyal, Ltd.	
		3,956,173	5/11/1976	Hercules Incorporated	
		4,443,486	4/17/1984	FMC Corporation	<u> </u>
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵
		JP 3-53873	1991	Kotobuki Academy		X
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		WO 03/009832	2/6/2003	R.P. Scherer Holdings Pty Ltd		

Examiner	Date
Signature	Considered

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				Application Number	10/24689
11	<b>IFORMATION</b>	I DI	SCLOSURE	Filing Date	April 14, 2004
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Arthur D. Ballard
				Art Unit	N/A
(Use as many she ets as necessary)				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	10884-00008-US

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		NON PATENT LITERATURE DOCUMENTS	
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		Gums and Stabilsers for the Food Industry; "Liquid Core Hydrocolloid-Oil Capsules"; pages 324-332.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



Application No. (if known): 10/824689

Attorney Docket No.: 10884-00008-US

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